

Image
2875

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian N. Tufte

Serial No.: 10/074,362 Examiner: Negron, Ismael

Filing Date: February 12, 2002 Group Art Unit: 2875

For: LIGHTING APPARATUS

Docket No.: 1076.1101106

TRANSMITTAL SHEET

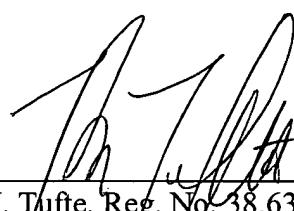
Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 16th day of December, 2003.

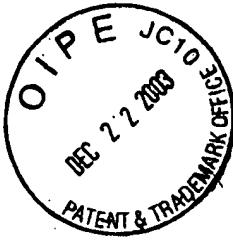
By: _____
Brian N. Tufte

We are transmitting herewith the attached:

- Information Disclosure Statement, Form PTO-1449 and 13 cited references.
- Certification for Information Disclosure Statement.
- Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.



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CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(e)

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

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By: _____
Brian N. Tufte

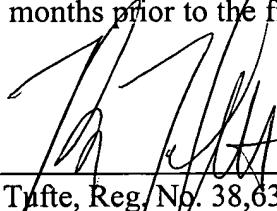
Dear Sir/Madam:

1. This certification is being made for the Information Disclosure Statement accompanying this certification.

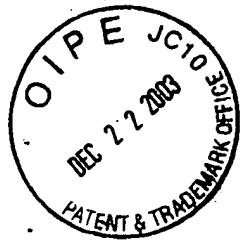
2. I, the person(s) signing below certify:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR §1.97(e)(2).

Dated: DECEMBER 16, 2003



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Filing Date: February 12, 2002 Group Art Unit: 2875

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this 16th day of December, 2003.

By: Brian N. Tufte

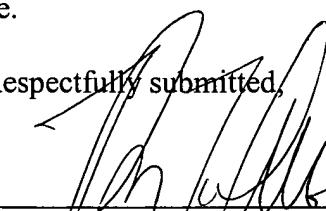
Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

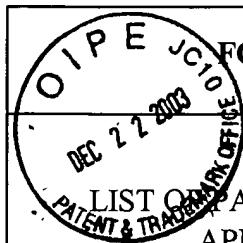
No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Dated: December 16, 2003



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FORM PTO-1449		Atty. Docket No.: 1076.1101106	Serial No.: 10/074,362
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Brian N. Tufte	
		Filing Date: February 12, 2002	Group Art: 2875

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	3,885,144	05/1975	Lewis et al.			
	4,625,266	11/1986	Winter			
	5,023,762	06/1991	Tieszen			
	5,337,225	08/1994	Brookman			
	5,430,627	07/1995	Nagano			
	5,485,355	01/1996	Voskoboinik et al.			
	6,065,852	05/2000	Crumley			
	6,082,867	07/2000	Chien			
	6,129,442	10/2000	Hoefling et al.			
	6,186,649	02/2001	Zou et al.			
	6,278,827	08/2001	Sugiyama et al.			
	6,523,986	02/2003	Hoffman			
	6,526,200	02/2003	Davie			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.